

## TRANSMITTAL LETTER TO THE UNITED STATES

Attorney Docket No.

DESIGNATED/ELECTED OFFICE (DO/EO/US)

V20.12-0001

CONCERNING A FILING UNDER 35 U.S.C. § 371

U.S. Application No.

09/913673

INTERNATIONAL APPLICATION  
PCT/AU00/00117INTERNATIONAL FILING DATE  
16 February 2000 (16.02.00)PRIORITY DATE CLAIMED  
17 February 1999 (17.02.99)

## TITLE OF INVENTION

ERASABLE/REWRITABLE OPTICAL DATA STORAGE WITH PHOTOREFRACTIVE POLYMERS

## APPLICANT(S) FOR DO/EO/US

GU, Min et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendment to the claims under PCT Article 19 (35 U.S.C. 372(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 37(c)(5)).

## Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and .198.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

- A. International Preliminary Examination Report
- B. Written Opinion
- C. Response to Written Opinion
- D. Response to Supplementary International Search Report
- E. Six (6) sheets of drawings
- F. Abstract typed on a separate page

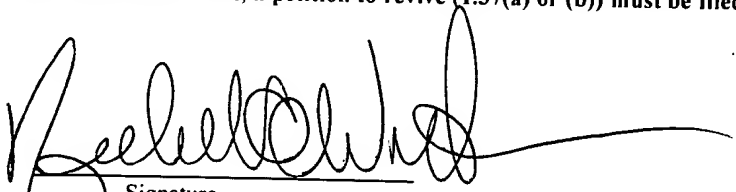
U.S. APPLICATION NO. <b>09/913673</b>		INTERNATIONAL APPLICATION NO. PCT/AU00/00117		ATTORNEY'S DOCKET NUMBER V20.12-0001	
17. [X] The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)):</b>				<b>CALCULATIONS PTO USE ONLY</b>	
Search Report has been prepared by the EPO or JPO.....				\$860.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482).....				\$690.00	
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....				\$710.00	
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....				\$1,000.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).....				\$100.00	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$860	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	45 - 20 =	25	X \$18	\$450	
Independent claims	6 - 3 =	3	X \$80	\$240	
MULTIPLE DEPENDENT CLAIM (S) (if applicable)			+ \$270.00	\$0	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$1,550	
Reduction by 1/2 for filing by small entity. It is asserted by the undersigned, the applicant is entitled to small entity status.				\$775	
<b>SUBTOTAL =</b>				\$775	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))				\$0	
<b>TOTAL NATIONAL FEE =</b>				\$775	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				\$0	
<b>TOTAL FEES ENCLOSED =</b>				\$775	
				<b>Amount to be:</b>	
				<b>refunded \$</b>	
				<b>charged \$</b>	

- a. [X] A Credit Card Payment Form - PTO 2038 in the amount of \$775 to cover the above fees is enclosed.
- b. [ ] Please charge my Deposit Account No. 23-1123 in the amount of \$ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 23-1123. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (1.37(a) or (b)) must be filed and granted to restore the application to pending status.**

Send all correspondence to:

Nickolas E. Westman  
WESTMAN, CHAMPLIN & KELLY, P.A.  
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Minneapolis, MN 55402-3319

  
Signature  
Nickolas E. Westman  
Reg. No. 20.147

09/913673

Express Mail No. EL844348900US

## UNITED STATES RECEIVING OFFICE (RO/US)

Re	International Appln. No. PCT/AU00/00117
Applicant	GU, Min et al.
International Filing Date	16 February 2000 (16.02.00)
Title of Invention	ERASABLE/REWRITABLE OPTICAL DATA STORAGE WITH PHOTOREFRACTIVE POLYMERS
Agent's File	V20.12-0001

## Letter

EL844348900US

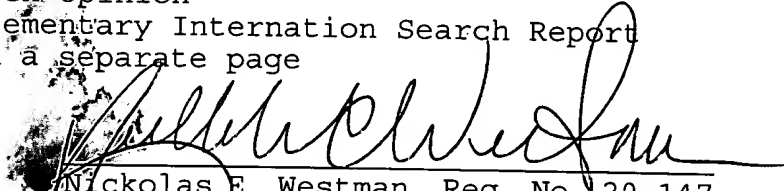
August 17, 2001

"Express Mail" Mailing Number

Date of Deposit

The following are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231:

1. Credit Card Payment Form - PTO 2038 for \$775 Filing Fee
2. Transmittal Letter (completed Form No. PTO-1390)
3. Paper entitled "NATIONAL FILING IN U.S. RECEIVING OFFICE"
4. Preliminary Amendment
5. Copy of front page only of International Publication No. WO 00/49465 (PCT/AU00/00117)
6. Copy of specification and drawings as originally filed
7. Combined Declaration and Power of Attorney
8. International Preliminary Examination Report
9. Two International Search Reports issued by EPO
10. Information Disclosure Statement with copies of cited references
11. Written Opinion
12. Response to Written Opinion
13. Response to Supplementary International Search Report
14. Abstract typed on a separate page

  
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09/913673  
518 Rec'd PCT/PTO 17 AUG 2001

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UNITED STATES RECEIVING OFFICE (RO/US)

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NATIONAL FILING IN U.S. RECEIVING OFFICE

Box PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

It is respectfully requested that the enclosed application and the transmittal letter be granted a filing date for purposes of National Filing Under 37 U.S.C. § 371.

A claim to priority under Title 35, United States Code, § 119 of International Application PCT/AU00/00117 and Australian Application No. PP 8738, Filed 17 February 1999 (17.02.99) and also Australian Application No. PQ 3413, filed 14 October 1999 (14.10.99) is hereby made.

A copy of the front page only of International Publication No. WO 00/49465 is enclosed for reference purposes.

The inventors and applicants in the United States are as follows:

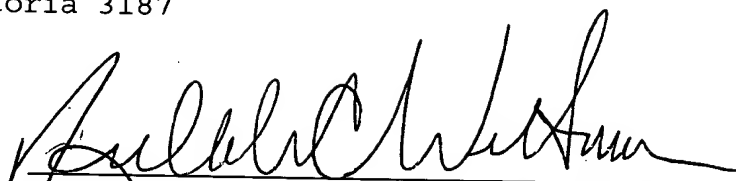
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